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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/989,754	11/21/2001	Darcy John McCulloch	049681-5003

CONFIRMATION NO. 9659

FORMALITIES LETTER



OC00000007157553

Date Mailed: 12/05/2001

009629
MORGAN, LEWIS & BOCKIUS
1800 M STREET NW
WASHINGTON, DC 20036-5869



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$195.
 - \$153 for 17 total claims over 20.
 - \$42 for 1 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 630.**

02/07/2002 CNGUYEN 00000023 500310 09989754

01 FC:201	370.00 CH
02 FC:202	42.00 CH
03 FC:203	153.00 CH
04 FC:205	65.00 CH

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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PATENT
ATTORNEY DOCKET NO.: 049681-5003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Application of: Darcy John McCULLOCH)

U.S. Application No.: 09/989,754)

Filed: November 21, 2001)

For: METHOD AND SYSTEM FOR CENTRALLY)
ORGANIZING TRANSACTIONAL)
INFORMATION IN A NETWORK)
ENVIRONMENT)

Group Art Unit: 2175

Examiner: ~~To Be Assigned~~

Abel-Jalil, Nerveen

Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING
PARTS OF NONPROVISIONAL APPLICATION**

1. This Response replies to the Notice to File Missing Parts of Nonprovisional Application mailed December 5, 2001.

☒ A copy of the Notice to File Missing Parts of Nonprovisional Application is enclosed.

2. **Declaration or Oath**

☒ No Declaration or Oath was filed. Enclosed is an executed original Combined Declaration and Power of Attorney.

☐ An original Power of Attorney and Certificate Under § 3.73(b) by Assignee are enclosed.

☐ The Specification attached to the Declaration is a copy of the Specification and any Amendments thereto which were filed in the USPTO to obtain the filing date.

☐ The Declaration or Oath which was filed was determined to be defective. A new original Combined Declaration and Power of Attorney is attached.

3. English Translation of Non-English Language Papers

☐ Submitted herewith is a verified English translation of the non-English language Application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the USPTO.

☐ Also enclosed is the Verification of Translation Document, stating that the translation is accurate.

4. Small Entity Statement

☒ Small Entity Status under 37 C.F.R. § 1.27 is asserted for the present application.

5. Fee Calculation

			Basic Fee:	
			Patent Application—\$740.00	
			Design Application—\$330.00	\$740/\$370
	Number Filed	Number Extra	At A Rate Of	
Total Claims	37 - 20 =	17	\$18.00 each =	+\$306.00
Independent Claims	4 - 3 =	1	\$84.00 each =	+\$ 84.00
Multiple dependent claim(s), if any			\$280.00	+\$
Missing Parts Surcharge Fee			\$130.00	+\$130.00
SUBTOTAL =				\$1260.00
Reduction by ½ for filing by a Small Entity				-\$630.00
Fee for Application filed with a non-English Specification (37 C.F.R. §§ 1.17(i) and 1.52(d))			\$130.00	+
Fee for processing and retention of Application (37 C.F.R. §§ 1.21(l) and 1.53(d))			\$130.00	
Fee for Extension of Time (37 C.F.R. § 1.136(a))				+
TOTAL FILING FEE =				\$630.00

6. Extension of Time

The proceedings herein are for a patent application, and the provisions of 37 C.F.R. § 1.136(a) apply.

- ☐ Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. § 1.17(a)-(d), for the total number of months checked below:

<u>Total Months Requested</u>	<u>Fee For Extension</u>	<u>Fee For Small Entity</u>
<input type="checkbox"/> One month	\$ 110.00	\$ 55.00
<input type="checkbox"/> Two months	\$ 400.00	\$200.00
<input type="checkbox"/> Three months	\$ 920.00	\$460.00
<input type="checkbox"/> Four months	\$1,440.00	\$720.00

Extension of time fee due with this Response: \$_____. If an additional extension of time is required, please consider this a Petition therefor.

- ☒ Applicant believes that no extension of time is required. However, this conditional Petition is being made to provide for the possibility that Applicant has inadvertently overlooked the need for a Petition and Fee for Extension of Time.

7. Fee Payment

The fees due are:	Filing Fee	\$370.00
	Additional Claim Fee	\$153.00
	Independent Claims over 3	\$ 42.00
	Missing Parts Fee	\$ <u>65.00</u>
	Total Fee Due	<u>\$630.00</u>

- ☒ **Additional fee due:**
Assignment Recordation \$ 40.00


- ☒ Please charge the amount of \$670.00 representing the total fee due and the Assignment recordation fee to Deposit Account No. 50-0310.

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Except for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this Application including fees due under 37 C.F.R § 1.16 and § 1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0310.

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP



Todd P. Taylor
Reg. No. 48,513

Date: February 5, 2002

CUSTOMER NO. 009629
MORGAN, LEWIS & BOCKIUS LLP
1111 Pennsylvania Avenue, N.W.
Washington, D.C. 20004
202.739.3000